## **Appendix G**

Theoretical analysis of whether a 2-fold increased risk of pH1N1 associated with prior seasonal influenza vaccination could be explained by trivalent inactivated influenza vaccine (TIV) effectively blocking the heterosubtypic cross-immunity provided by prior seasonal influenza infection

## **Appendix G. Can a two-fold increased risk of pandemic influenza A/H1N1 (pH1N1) associated with prior seasonal influenza vaccination be explained by vaccine effectively blocking the heterosubtypic cross-immunity provided by seasonal influenza infection?**

We have preliminarily explored this hypothesis on theoretical grounds. Based on the schematic below for pH1N1 in TIV vaccinated and unvaccinated groups taking into account prior seasonal influenza and assuming:

- a) 100 people who received seasonal trivalent inactivated influenza vaccine (TIV VACCINATED)
- b) 100 people who did not receive seasonal TIV [NOT TIV VACCINATED]
- c) A seasonal influenza infection attack rate (AR) of 70% (single season or accumulated across seasons)  $\triangleright$  C=70;
	- $\blacktriangleright$  A=100-70=30
- d) A TIV vaccine effectiveness (VE) of 50% vs. (pH1N1 immunity-inducing) seasonal influenza infection  $\triangleright$  b=VE\*C=0.5\*70 = 35;
	- $\triangleright$  c=(1-VE)\*C=(1 0.5)\*70 = 35;
	- $\geq$  a=100 (b+c) = 100 (35 +35) =30
- e) A 10% pH1N1 attack rate (AR) during the spring/summer 2009 in Canada
	- $\triangleright$   $\theta_1=0.10$



Then we can derive the required attack rate for pH1N1 infection (θ2) to yield a risk ratio for pH1N1 infection of 2.0 for the vaccinated compared to the unvaccinated, based on the equation below for RR:

$$
RR_{pH1N1}Vacc / Unvacc = \frac{[a+b]*\theta 1 + [c* \theta 2]}{[A* \theta 1] + [C* \theta 2]}
$$

Thus,  $2 = [(30+35)*0.1 + (35*0.2)] / [30*0.1] + (70*0.2)]$  $\theta$ 2=0.5/105 = 0.005

This means that the pH1N1 attack rate would be reduced from 10%  $(\theta_1=0.10)$  in those without the benefit of prior seasonal infection to 0.5% ( $\theta_2$ =0.005) in those who had the postulated benefit of prior seasonal influenza infection – in other words, it would require that seasonal influenza infection provides cross-protection of >95% against pH1N1  $[(\theta_1 - \theta_2)/\theta_1]$ , which seems implausible given that the pandemic with assumed 10% attack rate occurred in that same immuno-epidemiologic context.

We can vary these assumptions, noting that plausibility is driven by prior seasonal influenza AR, seasonal influenza infection-induced cross-immunity to pH1N1, or TIV block of that and that the RR is unaffected by  $\theta_1$ .

If we assume a higher seasonal influenza attack rate (95%) over several seasons and repeat annual vaccination with effectiveness in blocking that of 50% (C=95; A=5; a=5; b=47.5; c=47.5;  $\theta_1$ =0.10), then to achieve a relative risk of 2.0 would require  $\theta$ 2=0.0298 – in other words, a reduction in the pH1N1 AR from 10% in those without the benefit of seasonal infection to 3% in those who had benefited from prior seasonal infection. It may be debatable whether 70% cross-protection against pH1N1  $[(\theta_1 - \theta_2)/\theta_1]$  could be afforded by seasonal influenza infection but this again seems unlikely.

With assumptions of seasonal influenza attack rates below 50%, the hypothesis becomes completely unsupported (RR falls below 2) even assuming seasonal influenza infection induces 100% cross-protection against pH1N1 (θ2=0), unless TIV protection (VE) against that seasonal influenza infection is also assumed to be 100%.